



QUARTERLY RESPONSIBLE GAMING REPORT

Compliance Reporting

Quarterly Responsible Gaming Report

■ Corporate RG Committee

□ The Corporate Responsible Gaming Committee currently consists of:

- Tim Wilmott – President/COO
- Jordan Savitch – SR VP/CLO
- Gene Clark – SR VP, Human Resources
- Robert Ippolito – VP/Secretary/Treasurer
- Frank Donaghue – VP, Chief Compliance Officer
- Gregg Hart – Corp VP, Internal Audit
- Tom Burke – Senior VP of Regional Operations
- John Finamore - Senior VP of Regional Operations
- Jay Snowden - Senior VP of Regional Operations
- Dennis Brown – Director, Quality Assurance
- Jim Baldacci – Deputy Chief Compliance Officer

Quarterly Responsible Gaming Report

- There are Ten Items that must be Reported on in the Quarterly RG Report:
 - Property compliance officer's are required to review and report on each of these areas.

 - Remember, the RG report pertains to:
 - Problem/Compulsive Gambling
 - Responsible Alcohol Service
 - Minors
 - Unattended
 - Alcohol service
 - Gambling
 - Cigarettes

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1. **Property Responsible Gaming Committee meetings:**

- When held;
- Who attended, who was Chairman, who was absent;
- Issues discussed (minutes).

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2. Identify changes to your RG program

- Briefly describe the change (what, why).
- Include the changed material with your report.

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3. Identify RG Training That Took Place:

- What training was offered:
 - Responsible Alcohol
 - Responsible Gaming
 - ID Verification
 - Other

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4. **Identify Monitoring Efforts to Assess Compliance with Training Programs:**
- Attendance at all or part of training sessions;
 - Tracking of training:
 - Is everyone who is required to be trained getting trained (detailed tracking)?
 - Results of employee interview sessions;
 - Periodic review of training material to ensure it is correct and not outdated;
 - Note any internal audit findings.

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- 5. Identify Any Significant Alcohol-related Incidents that Took Place During the Quarter:**
- Incidents involving minors and alcohol;
 - Any incidents such as car accidents, serious fights or arrests that may be related to over consumption;
 - Any inquiries from law enforcement or attorneys concerning off property incidents by patrons (violence, car accidents, deaths).

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6. Identify Monitoring efforts to assess the property's compliance with its Responsible Alcohol Service:

- Review of incident reports:
 - Are procedures being followed?
- Review of Surveillance tape and associated reports;
- Results of employee interview sessions;
- Note any internal audit findings.

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7. Identify Any Incidents Involving Minors:

- Unattended minors
 - How old was minor;
 - Where was minor discovered;
 - How long was minor unattended;
 - What was parent/guardian doing (where were they);
 - Was gaming and/or local police contacted;
 - What action (if any) was taken against parent/ guardian;
 - Arrest
 - Eviction
 - Warning

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7. Identify Any Incidents Involving Minors (Cont.):

- Underage Gaming
 - How old was minor;
 - Where was minor discovered;
 - How was minor discovered;
 - Did minor gamble or drink (how much, how long);
 - What happened when they entered:
 - Were they I.D.ed?
 - Did they have false ID?
 - Was ID misread?
 - What action (if any) was taken against minor;
 - What disciplinary action (if any) was taken against employee(s);
 - What if any regulatory action is likely to occur (e.g. fine).

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8. **Identify monitoring efforts to assess the property's compliance with its procedures related to minors at the property:**
- Review of incident reports:
 - Are procedures being followed.
 - Review of surveillance tape (serious issues).
 - Results of employee interview sessions.
 - Note any internal audit findings.

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9. Identify Any Incidents Related to Problem Gambling that Took Place During the Quarter:

- Incidents involving SEP's receiving mail, e-mail or telephone contact.
- Incidents involving SEP's not being detected when they should have (e.g. awarded a J.P.).
- Any deaths or suicides that may have a responsible gaming component.
- Any inquiries from law enforcement or attorneys concerning off property incidents that may have a compulsive gambling component (e.g. high profile embezzlement by patron).
- Any press reports mentioning your casino that has a responsible gaming component.

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10. **Identify monitoring efforts to assess the property's compliance with its procedures relating to problem gambling:**
- Review of incident reports:
 - Are procedures being followed?
 - Review of Surveillance tape (serious issues).
 - Results of employee interview sessions.
 - Note any internal audit findings.
 - Results of any other compliance reviews.

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■ More Information

- Quarterly Responsible Gaming Report is Due:
 - 30 days after the end of the quarter.