

VIII. ECONOMIC ACTIVITY AND BUSINESS DEVELOPMENT

A. FINANCE AND CAPITAL STRUCTURE

10. LEGAL ACTIONS

Submit as Exhibit VIII. A.10. the following information relating to legal actions of any Applicant Party:

- a. *A statement as to whether there are any pending legal actions, whether civil, criminal or administrative in nature, to which the Applicant Party is a party and a brief description of any such actions;*

OCCR Enterprises, LLC (applicant), OCCR Enterprises Holdings, LLC (Jointly Held holding entity), PPE Casino Resorts NY, LLC (Cordish Principal's Holding Entity), and NY OCCR Investment, LLC (Penn Holding Entity) are newly formed entities and do not have any legal actions, whether civil, criminal or administrative in nature pending.

For Penn National Gaming, Inc see attachment **Penn Litigation (Corporate)**

- b. *A brief description of any settled or closed legal actions, whether civil, criminal or administrative in nature, against the Applicant Party over the past ten (10) years;*

OCCR Enterprises, LLC (applicant), OCCR Enterprises Holdings, LLC (Jointly Held holding entity), PPE Casino Resorts NY, LLC (Cordish Principal's Holding Entity), and NY OCCR Investment, LLC (Penn Holding Entity) are newly formed entities and do not have any closed legal actions, whether civil, criminal or administrative in nature, against the Applicant Party over the past ten (10) years;

For Penn National Gaming, Inc see attachment **Penn Litigation (Corporate)**

For the Cordish Group, with the exception of the two actions described below, there are no settled or closed legal actions against any Applicant Party over the past ten (10) years.

Date Filed	Name & Address Of Court	Docket/Case Number	Other Parties to Suit	Nature of Suit	Date of Disposition
3/28/2012	Circuit Court for Baltimore County - Civil System 401 Bosley Ave. Towson, MD 21093	03C12003388	G P S Nurseries LLC vs C E Wheeler Inc, et al (Blake L. Cordish was cross plaintiff)	Suit by nursery company and Blake Cordish against contractor for non-payment to nursery for work done at personal residence of Blake Cordish	Settled 3/26/13
3/22/2005	Circuit Court for Baltimore County - Civil System 401 Bosley Ave. Towson, MD 21093	03C05003202	Cordish vs Garden Cottage LLC, et al	Suit by Blake Cordish for specific performance on contract to sell personal residence	Settled 5/18/05
2004	Circuit Court of the 17 th Judicial Circuit of Florida, 201 SE 6 th Street, Ft. Lauderdale, Florida 33301	Case No. 04-20291 CACE (14)	Trump Hotels & Casino Resorts Development Company, LLC vs Richard T. Fields, Coastal Development, LLC, Power Plant Entertainment, LLC, Native American Development, LLC, Joseph S. Weinberg and The Cordish Company	Action alleging defendants committed tortuous interference and fraud to obtain casino deal with Seminole Tribe	Settled 2010

c. A description of any judgments against the Applicant Party within the past ten (10) years, including the case name, number, court, and what the final ruling or determination was from the court, administrative body or other tribunal;

There were no judgments against any Applicant Party within the past ten (10) years.

d. In instances where litigation is ongoing and the Applicant Party has been directed not to Disclose information by the court, provide the name of the judge, location of the court, and case name and number;

Not Applicable.

e. A statement whether the Applicant Party was indicted, accused or convicted of a crime or was a subject of a grand jury or criminal investigation during the past ten (10) years; and

No Applicant Party has been indicted, accused or convicted of a crime or was a subject of a grand jury or criminal investigation during the past ten (10) years.

f. A statement whether the Applicant Party was the subject of any order, judgment or decree of any court, administrative body or other tribunal of competent jurisdiction permanently or temporarily enjoining it from or otherwise limiting its participation in any type of business, practice or activity during the past ten (10) years.

No Applicant Party was the subject of any order, judgment or decree of any court, administrative body or other tribunal of competent jurisdiction permanently or temporarily enjoining it from or otherwise limiting its participation in any type of business, practice or activity during the past ten (10) years.

Attachments included:

Answer to Question 34
Question 34 NY Gaming
Penn Litigation (Corporate)