

New York State Gaming Commission

Subject Matter List

Complied Pursuant to Public Officers Law Section 87(3)

AUDIT

- Track Financial Reports and Data
- Purse Reports
- Capital Improvements Data
- Pari-Mutuel Data
- Statistical Compilations
- Memoranda
- Correspondence
- Cash Report and Deposit Operations relating to fines, occupational licenses, etc.
- Computerized Lottery Records
  - Sales Records
  - Prizes Paid Information
  - Retailer Information
  - Drawing Records
  - Inventory Accounting
  - Annuity Winners' Records
  - Advertising
  - Point of Sales Inventory
- Internal Audits
- External Audits
- Information Security Management

EXECUTIVE OFFICERS

- Administration
- Annual Reports
- Board Meetings
- Chronological Correspondence
- Clippings
- General Correspondence
- General Rules

EXECUTIVE OFFICERS CONT'D

- Harness Tracks
- Off-Track Betting Corporations
- Race Tracks
- Thoroughbred Tracks

FINANCE

- Contracts
- Purchase Orders
- Telephone Bills
- Travel Vouchers
- Standard Vouchers
- Expenditures
- Budget Files
- Printouts
- Refunds
- General Correspondence
- Vehicle Fleet
- Building Facilities
- Financial Statements
- Bank Statements
- Tax Withholding Records
- Office of Temporary and Disability Assistance Withholding Records
- Abandoned Property Records
- Lapsed Prize Totals
- Revenues Projections
- Reserve Balance Data
- Investment Portfolio (file)
- Internal Controls
- Budgeting

FINANCE CONT'D

- Contracts and Procurements Records
- Leases
- Equipment Inventory
- Purchase Orders/Vouchers
- Video Gaming Sales Records
- Revenues
- Records Retention

LICENSING AND INVESTIGATIONS CONT'D

- Retailer hearings/suspensions/revocations/probations
- Retailer Licensing Records
- Video Gaming Licensure
- Security Policies and Procedures
- Investigations
- Physical Security Management

LEGAL

- Litigation
- Administrative Hearings
- Law Notes
- Miscellaneous Matter
- Human and Equine Drug Files
- Rules and Regulations
- Main Office Suspension Notices
- Tracks Files
- Legislation
- Topics
- Chronological Correspondence
- Equine Drug Testing Program
- Ethics
- Freedom of Information Requests
- Findings and Orders/Hearing Officer Reports
- Contracts

OFF-TRACK BETTING

- OTB Operations
- OTB Simulcasting
- Simulcast Contracts
- Simulcast Licenses
- Problems and Complaints
- Investigations
- Financial Reports
- Promotions
- Audits
- Telephone Betting
- OTB Hearings
- Branches/Simulcast Theaters
- General Correspondence

LICENSING AND INVESTIGATIONS

(Filed alphabetically by name)

- License Applications
- Pending Applications
- Human Drug Abuse
- Drug Arrests
- Active and Closed Investigations
- General Correspondence

HUMAN RESOURCES

- General Office
- Personnel
- Budget
- Staff
- Human Resource Administration
- Civil Service Administration
- Benefits and Health Insurance Administration
- Health Safety and Security Records
- Training
- Affirmative Action
- Policies and Procedure

PUBLIC RELATIONS

- Press Releases
- News Clippings
- Board Reports
- Governor's Reports
- In-House Reports
- Annual Reports
- Suspensions
- Audit and Management Reports
- General Correspondence
- Winner Records
- News Releases
- Questions & Answers (e.g. website)

RACING OPERATION

- Race Track Licenses
- Simulcasting by Tracks
- On-Track Statistics/Judges Report
- Euthanasia Reports
- Racing Operations
- Stakes and Conditions
- Equine Drug Testing
- Investigations
- General Correspondence
- Tioga Park
- Parr Meadows
- Suffolk Meadows Race Track

DIRECTOR OF RACING OFFICIALS

- General Correspondence
- Chronological Correspondence
- Race Tracks

SECRETARY TO THE BOARD

- Race Tracks
- Off-Track Betting Corporations
- Board Meetings
- General Correspondence

RACE TRACK FILES

- Programs
- Operational Reports
- Paddock Lists
- Draw Sheets
- Inspector Sheets
- Veterinarian Logs
- Judges Reports
- Employee Attendance Records

WAGERING SYSTEMS

- Bingo
  - Organizations
  - Commercial Halls Lessors
  - Suppliers
  - Chronological Correspondence Files
  - Hearings
  - Statistics
  - I.D. Numbers Issued
  - Financial Statements
  - Applications
- Games of Chance
  - Organizations
  - Lessors
  - Suppliers
  - Chronological
  - Hearings
  - Statistics

- Games of Chance cont'd
  - I.D. Numbers Issued
  - Financial Statements
  - Applications

#### GAMING DIVISION

- Gaming Enterprise Registration (active and inactive)
- Occupational License Files (active and inactive)
- Oneida Indian Nation Topic Files
- St. Regis Mohawk Tribe Topic Files
- Oneida Compact
- Mohawk Compact
- Seneca Compact
- Software Approval Letters
- Software Issues Lists
- Game Change Requests
- Promotional Approval Forms
- Video Gaming Facility Operation Records

#### LOTTERY DIVISION

- Drawing Records
- Video Tape Drawing Records
- Advertising Records
- Demographics
- Marketing Records
- Research
- Promotions
- Game Development
- Games Histories
- On-Line Game Records
- Instant Cash Game Records
- Sales Records
- Winner Records
- Field Reports for Licensed