



Gaming Commission

One Broadway Center, P.O. Box 7500, Schenectady, NY 12301-7500
www.gaming.ny.gov

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Barry Sample, Commissioner
Todd R. Snyder, Commissioner

Robert Williams, Executive Director
Edmund C. Burns, General Counsel

MWBE Waiver Request

Contract No.: T160002	Facility (if applicable):
Project Description: Bank custodial services for Lottery funds.	Contract Value: \$43,500 annual
Contractor: US Bank, N.A.	Contract Term: 1/4/16 – 1/3/19
Agency contact information: Name: Stacey Relation Email: Stacey.relation@gaming.ny.gov Phone number: (518) 388-3404	

Description: The New York State Gaming Commission utilizes US Bank for custodial services for Lottery funds, wherein the bonds used to pay Lottery prizes are held in custody. This is a completely electronic process, including our monthly statements.
Reason for Request: Due to the nature of this service, the prime is performing all work and there are no subcontracting opportunities.
Original Goal: MBE <u> 0 </u> % WBE <u> 0 </u> % Recommended Goal: MBE <u> 0 </u> % WBE <u> 0 </u> %
Explanation of Good Faith Efforts: There are no certified National Banking Institutions to serve as prime, and no subcontracting opportunities are available under the scope of work.

Relation, Stacey (GAMING)

From: MWBE Waivers <MWBE.Waivers@exec.ny.gov>
Sent: Tuesday, November 17, 2015 10:47 AM
To: Relation, Stacey (GAMING)
Cc: Thorpe, Gail (GAMING)
Subject: Re: mwbe waiver template_US Bank

Hi Stacey,

I reviewed and agree with your assessment to grant this waiver request.

Best,

Alex

Alexandra M. Greene
mwbewaivers@exec.ny.gov

From: Relation, Stacey (GAMING) <Stacey.Relation@gaming.ny.gov>
Sent: Friday, November 06, 2015 9:47 AM
To: MWBE Waivers; Alexandra Greene (labor.ny.gov)
Cc: Gail Thorpe (gaming.ny.gov)
Subject: mwbe waiver template_US Bank

Hi Alex,

Please see attached waiver request for US Bank to serve as custodian for Lottery funds from 1/4/16 – 1/3/19

Thank you,
Stacey

Stacey Relation

Contract Management Specialist 2

New York State Gaming Commission

1 Broadway Center, Schenectady, NY 12305

(518) 388-3404 | stacey.relation@gaming.ny.gov | @NYSGamingComm

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