



Subject Matter List
Compiled Pursuant to Public Officers Law Section 87(3)
Updated February 17, 2016

For more information regarding the Freedom of Information Law, contact the Committee on Open Government at www.dos.ny.gov/coog/.

AUDIT

- Track Financial Reports and Data
- Purse Reports
- Capital Improvements Data
- Pari-Mutuel Data
- Statistical Compilations
- Memoranda
- Correspondence
- Cash Report and Deposit Operations relating to fines, occupational licenses, etc.
- Computerized Lottery Records
- Sales Records
- Prizes Paid Information
- Retailer Information
- Drawing Records
- Inventory Accounting
- Annuity Winners' Records
- Advertising
- Point of Sales Inventory
- Internal Audits
- External Audits
- Information Security Management

EXECUTIVE

- Administration
- Annual Reports
- Board Meetings
- Chronological Correspondence
- General Correspondence
- General Rules
- Commercial Casinos
- Indian Gaming
- Harness Tracks
- Off-Track Betting Corporations
- Race Tracks
- Thoroughbred Tracks

FINANCE

- Contracts
- Purchase Orders
- Telephone Bills
- Travel Vouchers
- Standard Vouchers
- Expenditures
- Budget Files
- Printouts
- Refunds
- General Correspondence
- Vehicle Fleet
- Building Facilities
- Financial Statements
- Bank Statements
- Tax Withholding Records
- Office of Temporary and Disability Assistance Withholding Records
- Abandoned Property Records
- Lapsed Prize Totals
- Revenues Projections
- Reserve Balance Data
- Investment Portfolio (file)
- Internal Controls
- Budgeting
- Contracts and Procurements Records
- Leases
- Equipment Inventory
- Purchase Orders/Vouchers
- Video Gaming Sales Records
- Revenues
- Records Retention

LEGAL

- Litigation
- Administrative Hearings
- Law Notes
- Miscellaneous Matter
- Human and Equine Drug Files
- Rules and Regulations
- Main Office Suspension Notices
- Legislation
- Chronological Correspondence
- Equine Drug Testing Program
- Ethics

- Freedom of Information Appeals
- Findings and Orders/Hearing Officer Reports
- Contracts
- Labor Law Compliance

LICENSING AND INVESTIGATIONS

- License Applications
- Pending Applications
- Human Drug Abuse
- Drug Arrests
- Criminal Convictions
- Active and Closed Investigations
- General Correspondence
- Commercial Casino Occupational Licenses
- Commercial Casino Facility Licenses
- Retailer hearings/suspensions/ revocations/probations
- Retailer Licensing Records
- Video Gaming Licensure
- Security Policies and Procedures
- Physical Security Management

OFF-TRACK BETTING

- OTB Operations
- OTB Simulcasting
- Simulcast Contracts
- Simulcast Licenses
- Problems and Complaints
- Investigations
- Financial Reports
- Promotions
- Audits
- Telephone Betting
- OTB Hearings
- Branches/Simulcast Theaters
- General Correspondence

HUMAN RESOURCES

- General Office
- Personnel
- Budget
- Staff
- Human Resource Administration
- Civil Service Administration
- Benefits and Health Insurance Administration
- Health Safety and Security Records

- Training
- Affirmative Action
- Policies and Procedures

PUBLIC RELATIONS

- Press Releases
- News Clips
- Commission Reports
- Governor's Reports
- In-House Reports
- Annual Reports
- Suspensions
- Audit and Management Reports
- General Correspondence
- Winner Records
- News Releases
- Freedom of Information Requests
- Questions & Answers (e.g. website)

RACING OPERATIONS

- Race Track Licenses
- Simulcasting by Tracks
- On-Track Statistics/Judges Report
- Euthanasia Reports
- Racing Operations
- Stakes and Conditions
- Equine Drug Testing
- Investigations
- General Correspondence
- Multijurisdictional Account Wagering Reports
- Multijurisdictional Account Wagering Plan of Operations Approvals
- NYRA Tracks (Belmont, Aqueduct And Saratoga)
- Finger Lakes Race Track
- Yonkers Harness Track
- Saratoga Harness Track
- Vernon Downs Harness Track
- Tioga Downs Harness Track
- Buffalo Harness Track
- Batavia Harness Track

DIRECTOR OF RACING OFFICIALS

- General Correspondence
- Chronological Correspondence
- Race track Officiating Standards And Procedures
- Blood Alcohol Testing of Licensees Who Handle Horses

- Procedures for Equine Drug Testing

EQUINE MEDICAL DIRECTOR

- Advisories to Veterinary staff
- Liaison Materials to Equine Staff in Other States
- Equine Drug Testing
- Equine Health Monitoring

SECRETARY TO THE COMMISSION

- Race Tracks
- Off-Track Betting Corporations
- Board Meeting Minutes and Records
- General Correspondence

RACE TRACK FILES

- Programs
- Operational Reports
- Paddock Lists
- Draw Sheets
- Inspector Sheets
- Veterinarian Logs
- Judges Reports
- Employee Attendance Records

WAGERING SYSTEMS - BINGO

- Organizations
- Commercial Halls Lessors
- Suppliers
- Chronological Correspondence Files
- Hearings
- Statistics
- I.D. Numbers Issued
- Financial Statements
- Applications

GAMES OF CHANCE

- Organizations
- Lessors
- Suppliers
- Hearings
- Statistics
- I.D. Numbers Issued
- Financial Statements
- Applications

GAMING DIVISION

- Gaming Enterprise Registration (active and inactive)
- Occupational License Files (active and inactive)
- Oneida Indian Nation Topic Files
- St. Regis Mohawk Tribe Topic Files
- Oneida Compact
- Mohawk Compact
- Seneca Compact
- Software Approval Letters
- Software Issues Lists
- Game Change Requests
- Promotional Approval Forms
- Video Gaming Facility Operation Records
- Commercial Casino Rules and Regulations
- Casino Facility Location Board Compliance
- Vendor License Files
- General Correspondence
- Approvals for Change in Operations
- Approvals for Change in Facility Design

LOTTERY DIVISION

- Drawing Records
- Video Tape Drawing Records
- Advertising Records
- Demographics
- Marketing Records
- Research
- Promotions
- Game Development
- Games Histories
- On-Line Game Records
- Instant Cash Game Records
- Sales Records
- Winner Records
- Field Reports

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