Submit as Exhibit VIII.A.14.a. a description of any gaming-related licenses issued in any jurisdiction to an Applicant Party. Also, state whether an Applicant Party has ever had a gaming-related license denied, suspended, withdrawn or revoked, or if there is a pending proceeding that could lead to any of these conditions. If yes, provide a detailed summary of each denial, suspension, revocation, withdrawal or relevant documents in connection with such pending proceedings.

With respect to Newburgh Casino Associates, LLC, please see below.

Saratoga Harness Racing, Inc. holds the following gaming-related licenses:

- Video Lottery Gaming Agent Certificate of Operation Certificate Number VGF 006, Issued 1/28/2004 by the New York State Division of the Lottery. This certificate authorizes Saratoga Harness Racing, Inc. to act as a video lottery gaming agent.
- Harness Racing License Issued 2/27/2014 by the New York State Gaming Commission. This license authorizes Saratoga Harness Racing, Inc. to conduct harness race meetings and conduct pari-mutuel wagering at Saratoga Raceway from March 2, 2014 through December 13, 2014. The New York State Gaming Commission or its predecessors have issued similar licenses each year that harness racing and pari-mutuel wagering have been conducted at Saratoga Raceway.
- Lottery Retailer License Retailer Number 39305, Issued 4/6/2010 by the New York State Lottery. This license authorizes Saratoga Harness Racing, Inc. to act as a Lottery Sales Retailer.
- A subsidiary of Saratoga Harness Racing, Inc., Kings, Queens and Jacks, LLC, holds a gaming license in the State of Colorado. Account No. 02-75471-0100.
- An affiliate of Saratoga Harness Racing, Inc., Ellis Park Race Course, LLC, holds a license to conduct live horseracing, simulcasting and pari-mutuel wagering.

Daniel W. Gerrity holds the following gaming-related licenses:

- New York Gaming Commission Gaming Principal/Key License #DWG0111.
- New York Gaming Commission Track Management License #1430861.
- Colorado Division of Gaming Associated Key Gaming License #41481.
- Kentucky Horse Racing Commission Association Employee License.

James D. Featherstonhaugh holds the following gaming-related licenses:

- New York Gaming Commission Gaming Principal/Key License #JDF0142.
- New York Gaming Commission Track Management License #1437726.
- Colorado Division of Gaming Associated Key Gaming License #41483.

Neither Saratoga Harness Racing, Inc. nor any of its affiliates has ever had a gaming-related license denied, suspended, withdrawn or revoked. In addition, there are no pending proceedings that could lead to any of those conditions.

With respect to Hudson Valley Gaming, LLC, please see the tables on the following pages.



Submit as Exhibit VIII.A.14.a. a description of any gaming-related licenses issued in any jurisdiction to an Applicant Party. Also, state whether an Applicant Party has ever had a gaming-related license denied, suspended, withdrawn or revoked, or if there is a pending proceeding that could lead to any of these conditions. If yes, provide a detailed summary of each denial, suspension, revocation, withdrawal or relevant documents in connection with such pending proceedings.

Saratoga Harness Racing, Inc. has had the following disciplinary actions brought against it by a gaming licensing authority during the past five (5) years:

- New York State Gaming Commission Violation Notification 13-003. The Company's Director of VGM Operations was reprimanded for authorizing a move of games without appropriate approval of the Gaming Commission.
- New York State Gaming Commission Violation Notifications 13-009 and 13-024. In both of these instances, minors gained access to the gaming floor, but in neither case did the minor engage in gaming activity. The company was reprimanded for allowing minors to access the gaming floor and undertook remedial actions to prevent a recurrence.

Colorado Division of Gaming Warning Letter Case Report No. 13-000806. The Colorado licensee of Saratoga Harness Racing, Inc., failed timely to report a surveillance camera outage. No further action was taken.

No Applicant Party with respect to Hudson Valley Gaming, LLC (a member of Hudson Valley Casino and Resort, LLC) has had a disciplinary action brought against it by any gaming licensing authority during the past five (5) years.

Individuals that directly or indirectly own more than five (5) percent of the Applicant also have ownership interests in licensed casinos in other jurisdictions which have been subject to incidents that have resulted in fines.



NAME & ADDRESS OF LICENSING AGENCY/ORGANIZATION (INCLUDING COUNTRY, STATE/PROVINCE, COUNTY OR MUNICIPALITY/TOWN)	TYPE OF LICENSE, PERMIT, APPROVAL OR REGISTRATION	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED OR PENDING, ETC.)	LICENSE, PERMIT, APPROVAL OR REGISTRATION NUMBER
NEIL BLUHM:				
New Jersey Casino Control Commission-Licensing Unit Arcade Building Tennessee Avenue and Boardwalk Atlantic City, New Jersey 08401	Gaming License	07/2013	Mr. Bluhm continues as an applicant for qualifier status. No finding to date.	N/A
Alcohol and Gaming Commission of Ontario 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Owner of a gaming operator	02/2013	pending	N/A
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Gaming License	01/2013	Found Qualified	N/A
Illinois Gaming Board 160 N. LaSalle Street, Suite 300 Chicago, IL 60601	Gaming License	10/2008	Found Suitable for designation as a key person	N/A
Pennsylvania Gaming Control Board For Holdings Acquisition Co PO Box 69060 Harrisburg, PA 17106	Gaming License	08/2008	Granted	
Mississippi Gaming Commission P.O. Box 23577 Jackson, MS 39225	Gaming Operator	03/2007	Found Suitable	Note 5
Colorado Division of Gaming 1881 Pierce Street, Suite 112 Lakewood, CO 80214	Gaming License	07/2006	Withdrawn without prejudice 03/2007	N/A
Nevada Gaming Control Board 1919 College Parkway Carson City, NV 89706	Gaming License	05/2006	Pending (no longer seeking licensure)	N/A
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Gaming License	12/2005	Granted	Docket #1644



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NEIL BLUHM CONTINUED:				
Dry Creek Gaming Agency c/o Dry Creek Rancheria Band of Pomo Indians P.O. Box 698 Geyserville, CA 95441	Gaming License	02/2001	Voluntarily withdrawn without prejudice	N/A
Louisiana Dept. of Public Safety and Corrections Office of State Police – Gaming Division P.O. Box 66614 Baton Rouge, LA 70896-6614	Gaming License	08/1999	Found Suitable Note: Mr. Bluhm no longer holds an interest in the entity that obtained this license.	N/A
Alcohol and Gaming Commission of Ontario For Highland Gaming, LLC 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	04/1997	Found Suitable	N/A (See Note 4 below)
Alcohol and Gaming Commission of Ontario For Park Gaming, L.P. 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	1997	Granted and then cancelled without prejudice to Mr. Bluhm	N/A



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LESLIE BLUHM:				
Alcohol and Gaming Commission of Ontario 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Owner of gaming operator	02/2013	Pending	
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Gaming License	01/2013	Found Qualified	N/A
Illinois Gaming Board 160 N. LaSalle Street, Suite 300 Chicago, IL 60601	Gaming License	10/2008	Found Suitable for designation as a key person	N/A
Pennsylvania Gaming Control Board For Holdings Acquisition Co PO Box 69060 Harrisburg, PA 17106	Slot Machine License/Casino License	08/2008	Granted	
Mississippi Gaming Commission P.O. Box 23577 Jackson, Mississippi 39225-3577	Gaming Operator	03/2007	Found Suitable	#633 See Note 5
Nevada Gaming Control Board 1919 College Parkway Carson City, NV 89706	Gaming License	5/06	Pending (no longer seeking licensure)	N/A
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Principal License	12/05	Granted	Docket #1641 (HSP Gaming)
Dry Creek Gaming Agency c/o Dry Creek Rancheria Band of Pomo Indians P.O. Box 698 Geyserville, CA 95441	Gaming License	2/01	Voluntarily withdrawn without prejudice	N/A



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LESLIE BLUHM CONTINUED:				
Louisiana Dept. of Public Safety and Corrections	Gaming License	8/99	Found Suitable	N/A
Office of State Police – Gaming Division				
P.O. Box 66614				
Baton Rouge, LA 70896-6614				
Alcohol and Gaming Commission of Ontario	Gaming-related	4/97	Found Suitable	N/A (Please see Note
(for Highland Gaming, LLC)	Supplier			4 below.
90 Sheppard Ave East, Suite 200-300				
Toronto, ON M2N 0A4				
Alcohol and Gaming Commission of Ontario	Gaming-related	1997	Granted and then	N/A
(for Park Gaming, LP)	Supplier		cancelled without	
90 Sheppard Ave East, Suite 200-300			prejudice to Ms.	
Toronto, ON M2N 0A4			Bluhm.	



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ANDREW BLUHM:				
Alcohol and Gaming Commission of Ontario 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Owner of gaming operator	02/2013	Pending	
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Gaming License	01/2013	Found Qualified	N/A
Illinois Gaming Board 160 N. LaSalle Street, Suite 300 Chicago, IL 60601	Gaming License	10/2008	Found Suitable for designation as a key person	N/A
Pennsylvania Gaming Control Board For Holdings Acquisition Co PO Box 69060 Harrisburg, PA 17106	Gaming License	08/2008	Granted	Docket 42028 (Holdings Acquisition Co)
Mississippi Gaming Commission P.O. Box 23577 Jackson, Mississippi 39225-3577	Gaming Operator	03/2007	Found Suitable	#633 See Note 5
Nevada Gaming Control Board 1919 College Parkway Carson City, NV 89706	Gaming License	05/2006	Pending (no longer seeking licensure)	N/A
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Principal License	12/2005	Granted	Docket #1641 (HSP Gaming L.P.)
Dry Creek Gaming Agency c/o Dry Creek Rancheria Band of Pomo Indians P.O. Box 698 Geyserville, CA 95441	Gaming License	02/2001	Voluntarily withdrawn without prejudice	N/A



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ANDREW BLUHM CONTINUED: Louisiana Dept. of Public Safety and Corrections Office of State Police – Gaming Division P.O. Box 66614 Baton Rouge, LA 70896-6614	Gaming License	08/1999	Found Suitable	N/A
Alcohol and Gaming Commission of Ontario For Highland Gaming, LLC 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	04/1997	Found Suitable	See Note 4
Alcohol and Gaming Commission of Ontario for Park Gaming LP 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	1997	Granted and then cancelled without prejudice to Mr. Bluhm	N/A



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MEREDITH BLUHM-WOLF:				
Alcohol and Gaming Commission of Ontario 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Owner of gaming operator	02/2013	Pending	
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Gaming License	01/2013	Found Qualified	N/A
Illinois Gaming Board 160 N. LaSalle Street, Suite 300 Chicago, IL 60601	Gaming License	10/2008	Found Suitable for designation as a key person	N/A
Pennsylvania Gaming Control Board For Holdings Acquisition Co PO Box 69060 Harrisburg, PA 17106	Slot Machine License/Casino License	08/2008	Granted	
Mississippi Gaming Commission P.O. Box 23577 Jackson, Mississippi 39225-3577	Gaming Operator	03/2007	Found Suitable	#633 See Note 5
Nevada Gaming Control Board 1919 College Parkway Carson City, NV 89706	Gaming License	5/06	Pending (no longer seeking licensure)	N/A
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Principal License	12/05	Granted	Docket #1641 (HSP Gaming)
Dry Creek Gaming Agency c/o Dry Creek Rancheria Band of Pomo Indians P.O. Box 698 Geyserville, CA 95441	Gaming License	2/01	Voluntarily withdrawn without prejudice	N/A
Louisiana Dept. of Public Safety and Corrections Office of State Police – Gaming Division P.O. Box 66614 Baton Rouge, LA 70896-6614	Gaming License	8/99	Found Suitable	N/A



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MEREDITH BLUHM-WOLF CONTINUED:				
Alcohol and Gaming Commission of Ontario (for Highland Gaming, LLC) 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	4/97	Found Suitable	N/A (Please see Note 4 below.
Alcohol and Gaming Commission of Ontario (for Park Gaming, LP) 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	1997	Granted and then cancelled without prejudice to Ms. Bluhm-Wolf	N/A



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GREG CARLIN:				
Alcohol and Gaming Commission of Ontario For Highland Gaming, Inc. 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	04/1997	Found Suitable	See Note 4 below
Alcohol and Gaming Commission of Ontario For Park Gaming, L.P. 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Gaming-related Supplier	1997	Granted and then cancelled without prejudice to Mr. Carlin	N/A
Louisiana Dept. of Public Safety and Corrections Office of State Police – Gaming Division P.O. Box 66614 Baton Rouge, LA 70896-6614	Gaming License	08/1999	Found Suitable	N/A
Dry Creek Gaming Agency c/o Dry Creek Rancheria Band of Pomo Indians P.O. Box 698 Geyserville, CA 95441	Gaming License	02/2001	Voluntarily withdrawn without prejudice	N/A
Pennsylvania Gaming Control Board For SugarHouse Casino PO Box 69060 Harrisburg, PA 17106	Principal License	12/2005	Granted	P-1650
Nevada Gaming Control Board 1919 College Parkway Carson City, NV 89706	Gaming License	05/2006	Pending (no longer seeking license)	N/A
Colorado Division of Gaming 1881 Pierce Street, Suite 112 Lakewood, CO 80214	Gaming License	07/2006	Withdrawn without prejudice 03/2007	N/A
Mississippi Gaming Commission P.O. Box 23577 Jackson, MS 39225	Gaming Operator	3/2007	Found Suitable	See Note 5 below
Pennsylvania Gaming Control Board For Rivers Casino PO Box 69060 Harrisburg, PA 17106	Principal License	08/2008	Granted	P-1650



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GREG CARLIN CONTINUED:				
Illinois Gaming Board 160 N. LaSalle Street, Suite 300 Chicago, IL 60601	Gaming License	08/2008	Found Suitable	N/A
Nevada Gaming Control Board For Grand Siena, LLC 1919 College Parkway Carson City, NV 89706	Minority Equity Interest Holder	07/2012	Found Suitable	N/A
New Jersey Casino Control Commission-Licensing Unit Arcade Building Tennessee Avenue and Boardwalk Atlantic City, New Jersey 08401	Gaming License	07/2013	Mr. Carlin continues as an applicant for qualifier status. No finding to date.	N/A
Alcohol and Gaming Commission of Ontario 90 Sheppard Ave East, Suite 200-300 Toronto, ON M2N 0A4	Owner of a gaming operator	02/2013	pending	N/A
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Gaming License	01/2013	Found Qualified	N/A



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TIMOTHY DREHKOFF:				
Alcohol and Gaming Commission of Ontario	Approval to be a	12/2007	Granted	N/A
90 Sheppard Ave East, Suite 200-300	Director of Falls			
Toronto, ON M2N 0A4	Management Company			
Illinois Gaming Board	Findng of suitability for	05/2011	Granted	N/A
160 N. LaSalle Street, Suite 300	non-material ownership			
Chicago, IL 60601	interest			
Pennsylvania Gaming Control Board	Principal/Key Employee	04/2012	Approved	N/A
PO Box 69060				
Harrisburg, PA 17106				
Massachusetts Gaming Commission	Principal/Key Employee	12/2012	Found suitable	N/A
84 State Street, 10 th Floor				
Boston, MA 02109				



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DAVID PATENT:				
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Key License	12/28/2012	Found Suitable	
Illinois Gaming Board 160 N. LaSalle Street, Suite 300 Chicago, IL 60601	Key License	12/27/2009	Granted	11K015401
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Key License	10/06/2009	Granted	K23248
Indiana Gaming Commission South Tower, Suite 950 115 W. Washington St. Indianapolis, IN 46204	Level 1 License	07/01/2003	Granted	HA10322-L 1
Nevada Gaming Control Board 1919 College Parkway Carson City, NV 89706	Key License	05/03/2005	Never called by NGB for licensing; listed as active and in good standing	
Missouri Gaming Commission 3417 Knipp Drive, PO Box 1847 Jefferson City, MO 65102	Level 1 License	10/12/2007	Granted	MGC301614



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JOSHUA RISLEY:				
Illinois Gaming Board 801 S. 7 th Street, Suite 400 - South Springfield, IL 62703	Level 3 Occupation	10/2013	Granted	13K027493
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Key Employee	06/2013	Granted	K-78721-1
New Jersey Casino Control Commission Employee License Bureau Arcade Building Tennesse Ave and Boardwalk Atlantic City, NJ 08401	Key Employee	01/2006	Granted (expired)	unknown
Missouri Gaming Commission 3417 Knipp Drive, PO Box 1847 Jefferson City, MO 65102	Level II Occupation	09/2001	Granted (expired)	unknown



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JOSEPH SCIBETTA:				
Clark County Sheriff's Card	Gaming Permit	02/1995	Granted	Unknown
Las Vegas, NV 89109				
Henderson Police Department	Gaming Permit	08/1995	Granted	Unknown
PO Bpx 95050				
Henderson, NV 89009				
Indiana Gaming Commission	Level 1 Gaming	07/2002	Granted	Unknown
101 W. Washington St. #1600	License			
Indianapolis, IN 46204				
Rincon Band of San Luiseno Indian Gaming	Gaming License	11/2004	Granted	Unknown
Commission				
777 Harrah's Blvd				
Valley Center, CA				
Nevada Gaming Control Board	Gaming Permit	07/2006	Granted	
555 E. Washington				
Las Vegas, NV 89101				
Pennsylvania Gaming Control Board	Principal License	01/2010	Granted	
PO Box 69060				
Harrisburg, PA 17106				
Illinois Gaming Board	Level 3 License	07/2011	Granted	
801 S. 7 th Street, Suite 400 - South				
Springfield, IL 62703		40/0040	E 10 % 11	
Massachusetts Gaming Commission	Key License	12/2012	Found Suitable	
84 State Street, 10 th Floor				
Boston, MA 02109			1	



NAME & ADDRESS OF LICENSING AGENCY/ORGANIZATION (INCLUDING COUNTRY, STATE/PROVINCE, COUNTY OR MUNICIPALITY/TOWN)	TYPE OF LICENSE, PERMIT, APPROVAL OR REGISTRATION	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED OR PENDING, ETC.)	LICENSE, PERMIT, APPROVAL OR REGISTRATION NUMBER
SUZANNE TROUT:				
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Key License	12/2012	Found Suitable	
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Key Employee License	04/2011	Granted	K-60330-1
Illinois Gaming Board 801 S. 7 th Street, Suite 400 - South Springfield, IL 62703	Level III	07/2011	Granted	11K00649
Pechanga Tribe PO Box 9041 Temecula, CA 92589	Tribal Gaming License	06/2010	Granted	16057
Mississippi Gaming Commission P.O. Box 23577 Jackson, Mississippi 39225-3577	Key Employee License	1993	Granted	Wp1517
DPS LSP Indian Gaming Division 2020 PInhook Road, Suite 506 LaFayette, LA 70508	State Certification Corporate Exec	01/1996	Granted	i-170002648
New Jersey Casino Control Commission Employee License Bureau Arcade Building Tennesse Ave and Boardwalk Atlantic City, NJ 08401	Key Employee	01/2002	Granted	N/A
Clark County Department of Business Licensing 500 S. Grand Central Park, PO Box 551810 Las Vegas, NV 89155	Non-Restricted Gaming License	01/1999	Granted	N/A
Missouri Gaming Commission 3417 Knipp Drive, PO Box 1847 Jefferson City, MO 65102	Level II Occupational License	05/2003	Granted	1095427
Cherokee Tribal Gaming Commission 776 Casino Drive Cherokee, NC 28719	Tribal Gaming License	05/2003	Granted	NA



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ZELLETTA WYATT:				
Illinois Gaming Board 160 N. LaSalle Street, Suite 300 Chicago, IL 60601	Gaming Occupational License	07/2011	Granted	11K000373
Missouri Gaming Commission 3417 Knipp Drive, PO Box 1847 Jefferson City, MO 65102	Gaming Occupational License	03/1997	Granted	112427
New Jersey Gaming Commission Arcade Building Tennesse Ave and Boardwalk Atlantic City, NJ 08401	Gaming Occupational License	01/2006	Granted	145230-21
Pennsylvania Gaming Control Board PO Box 69060 Harrisburg, PA 17106	Gaming Key Person Occupational License	02/2012	Granted	K-68146-1
Massachusetts Gaming Commission 84 State Street, 10 th Floor Boston, MA 02109	Gaming Key Person Occupational License	12/2012	Found suitable	Not applicable

